



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/895,281

Filing Date: June 29, 2001

Title: SINGLE PARTY BUYING AND SELLING COMMODITIES WITH
MULTIPLE COUNTERPARTIES

Applicants: Louise J. Kitchen et al.

Group Art Unit: 2161

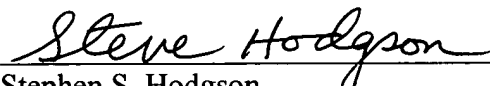
Atty Docket No.: ENR100/4-15

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to Applicants' duty, under 37 C.F.R. § 1.56, 1.97 and 1.98, to disclose all relevant material, the Applicants list on a concurrently filed Form PTO-1449 references that may be relevant to the subject matter of the above-identified application. Please note that the citation to *In re Application of Wait - 28 U.S.P.Q. 88 (C.C.P.A. 1934)* in the original form PTO-1449 was incorrectly cited and should be *In re Application of Wait - 24 U.S.P.Q. 88 (C.C.P.A. 1934)*. A copy of this document is enclosed. Please strike the original, incorrect citation. A search report and patent references from a search conducted in a related and co-pending PCT application are enclosed and the references are cited on the enclosed Form PTO-1449. Additional references are also cited on Form PTO-1449, and a copy of each is enclosed.

Respectfully submitted,

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 2		Complete if Known	
		Application Number	09/895,281
		Filing Date	06/29/2001
		First Named Inventor	Louise J. Kitchen
		Art Unit	2161
		Examiner Name	
		Attorney Docket Number	ENR100/4-15

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 5873069	02-16-1999	Reuhl et al.	
		US- 5835896	11-10-1998	Fisher et al.	
		US- 5794219	08-11-1998	Brown	
		US- 5794207	08-11-1998	Walker et al.	
		US- 5727165	03-10-1998	Ordish et al.	
		US- 4992940	02-12-1991	Dworkin	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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